

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Thomas Piccariello, Lawrence P. Olon, Randal J. KirkApplication No./Patent No: 09/933,708; Conf. #6976 Filed/Issue Date: 08/22/2001Entitled: ACTIVE AGENT DELIVERY SYSTEMS AND METHODS FOR PROTECTING AND ADMINISTERING ACTIVE AGENTSShire LLC, a Kentucky Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is ___ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ___, Frame ___, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Thomas Piccariello, Lawrence P. Olon and Randal J. Kirk To: LOTUS BIOCHEMICAL CORPORATION

The document was recorded in the United States Patent and Trademark Office at Reel 011401, Frame 0605 (as recorded in parent application no. 09/642,820.)

2. From: LOTUS BIOCHEMICAL CORPORATION To: NEW RIVER PHARMACEUTICALS INC.

The document was recorded in the United States Patent and Trademark Office at Reel 041631 Frame 0708 (as recorded in parent application no. 09/642,820.)

3. From: NEW RIVER PHARMACEUTICALS To: SHIRE LLC

The document was recorded in the United States Patent and Trademark Office at Reel 020054, Frame 0484 (as recorded in parent application no. 09/642,820.)

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 21, 2010

Signature

Date

Paul M. Zagar, Esq.; Reg. No. 52,392

212-547-5400

Printed or Typed Name

Telephone Number

Patent Counsel

Title